

Summary of NIH Standard Terms of Award

Recipient Authorities as NIH Standard Terms of Award	Exceptions
Carryover of unobligated balances from one budget period to any subsequent period	Centers (P50, P60, P30 and others), cooperative agreements (U), Kirschstein-NRSA institutional research training grants (T), non-Fast Track Phase I SBIR and STTR awards (R43 and R41), clinical trials, and awards to individuals, or if the NoA indicates otherwise.
Cost-related prior approval changes, including research patient care costs and equipment	The cost would result in a change of scope.
Extension of final budget period of a project period without additional NIH funds (no-cost extension)	The recipient has already exercised its one-time authority to extend the award for up to 12 months; the NIH awarding IC has previously extended the project period; and/or a project is multi-year for 5 years (i.e., the entire 5-year project period is funded by a single award).
Transfer of performance of substantive programmatic work to a third party (by consortium agreement)	The transfer would be to a foreign component or it would result in a change in scope.
Make subawards based on fixed amounts, provided that the subawards meet the requirements for fixed amount awards in 45 CFR 75.201.	
Direct charge the salaries of administrative and clerical staff if conditions in 45 CFR 75.413 are met and the charges also meet the criteria for allowable costs described in 45 CFR 75.403.	
Direct charge payments of Incidental activities for which supplemental compensation is allowable under written institutional policy (at a rate not to exceed the institutional base salary) 45 CFR 75.430 (h)(ii). Such activities must be specifically provided for in the Federal award budget.	
Include charges for Intra-IHE faculty consulting on sponsored agreements that exceed a faculty member's base salary, but only in unusual cases where: (a) consultation is across departmental lines or involves a separate or remote operation; and (b) the consulting work is in addition to the faculty member's regular departmental load.	

Summary of Actions Requiring NIH Prior Approval

NIH prior approval is required for	Under the following circumstances
Additional no-cost extension, extension greater than 12 months, or late notification of initial no-cost extension (8.1.2.1)	All instances.

A&R (8.1.2.2)	(1)Rebudgeting into A&R costs that would exceed 25 percent of the total approved budget for a budget period. (2)If rebudgeting would not be this threshold but would result in a change of scope. (3)Any single A&R project exceeding \$500,000.
Capital expenditures (con-struction, land, or building acquisition) (8.1.2.3)	All instances. Also, any proposals to convey, transfer, assign, mortgage, lease, or in any other manner encumber real property acquired with NIH grant funds.
Carryover of unobligated bal-ances (8.1.2.4)	If the NoA indicates that the recipient does not have the authority to automatically carry over unobligated balances.
Change in scope (8.1.2.5)	All instances.
Change in status of the PD/PI or senior/key personnel named in the NoA (8.1.2.6)	Withdrawal from the project; absence for any continuous period of 3 months or more; reduction of the level of effort devoted to project by 25 percent or more from what was approved in the initial competing year award.
Change of recipient organization (8.1.2.7)	All instances.
Change of recipient organ-ization status (8.1.2.8)	All instances.
Deviation from award terms and conditions (8.1.2.9)	All instances. Includes undertaking any activities disapproved or restricted as a condition of the award.
Foreign component added to a grant to a domestic or foreign organization (8.1.2.10)	All instances.
Need for additional NIH fund-ing (8.1.2.11 and 8.1.2.12)	All instances, including extension of a final budget period of a project period with additional funds.
Pre-award costs (8.1.2.13)	More than 90 days before effective date of the initial budget period of a new or competing continuation award; always at the recipient's own risk.
Rebudgeting funds from trainee costs (8.1.2.14)	All instances.
Rebudgeting of funds between construction and non-con-struction work (8.1.2.15)	All instances.
Retention of research grant funds when CDA awarded (8.1.2.16)	All instances.